



# TRANSMITTAL FORM

|                           |               |
|---------------------------|---------------|
| Application Serial Number | 08/670,119    |
| Filing Date               | June 25, 1996 |
| First Named Inventor      | Ng            |
| Group Art Unit            | 1645          |
| Examiner Name             | Hayes, R.     |
| Attorney Docket No.       | SIM-001       |

## ENCLOSURES (check all that apply)

|   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input checked="" type="checkbox"/> Copy of Fee Transmittal Form<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets -----]<br><input type="checkbox"/> Extension of Time Request<br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement<br><input checked="" type="checkbox"/> Form PTO-1449<br><input checked="" type="checkbox"/> Copies of IDS Citations<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input type="checkbox"/> Formal Drawing(s)<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input type="checkbox"/> Additional Enclosure(s) (please identify below) |
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## CORRESPONDENCE ADDRESS

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## SIGNATURE BLOCK

Respectfully submitted,  
  
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Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
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Date: August 31, 1998  
Reg. No. 38,349  
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PATENT  
Atty. Docket No. SIM-001

#14  
9/14/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Ng et al.

SERIAL NUMBER: 08/670,119

GROUP NUMBER: 1645

FILING DATE: June 25, 1996

EXAMINER: Hayes, R.

TITLE: RECEPTOR AND TRANSPORTER ANTAGONISTS

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. § 1.97, this statement is being filed (CHECK ONE):

☐ (1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits; or

☐ (2) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and

☐ the requisite Certification is attached hereto, **OR**

☐ the requisite fee under Rule 1.17(p), namely **\$220.00**, is included herein, or

☒ (3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**

☒ Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**

☒ the requisite Certification, is attached hereto, **AND**

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- ☒ the requisite petition fee due under Rule 1.17(i)(1), namely **\$130.00** is to be charged to Deposit Account No. 20-0531

It is respectfully requested that each of the documents shown on the attached form PTO-1449 be made of record in this application.

### CERTIFICATION


As required under §1.97(e), Applicants, through the undersigned, hereby certify either that:

- ☒ 1. Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this Certification after making reasonable inquiry, was known to **any** individual designated in §1.56(c) **more than** three months prior to the filing of the Statement.

### FEE AUTHORIZATION

The Commissioner is authorized to charge the fee associated with the submission of this paper to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Date: August 31, 1998  
Reg. No. 38,349

  
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PATENT  
Attorney Docket No. SIM-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Ng et al.  
SERIAL NO.: 08/670,119 GROUP NO.: 1645  
FILING DATE: June 25, 1996 EXAMINER: Hayes, R.  
TITLE: RECEPTOR AND TRANSPORTER ANTAGONISTS

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 31 day of August, 1998.

  
Thomas F. Hellenthal

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form; Fee Transmittal (in duplicate); Information Disclosure Statement; PTO Form 1449; References CA-CC; and a mailroom postcard.

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